

IDENTIFICATION DUE DILIGENCE AND QUESTIONNAIRE FOR INDIVIDUALS

For the purpose of offering good service, and promotion of your interests, it is important that you provide us with the necessary data regarding yourself. Please take all steps to complete, as clearly and precisely as possible, this Questionnaire whose aim is to provide Performance Ronnaru Company Ltd the required information in accordance with the provisions of the Investment Services and Activities and Regulated Markets Law of (Law 87(I)/2017) so that to enable Performance Ronnaru Company to assess your requirements and objectives and determine your investment profile. Should there be any material change in your circumstances please notify us. All information received will be treated in confidentiality.

IDENTIFICATION DETAILS	
Name:	
Surname	
Date of Birth:	
Gender	Male <input type="checkbox"/> Female <input type="checkbox"/>
ID/Passport No	
Country of Issue	
Nationality	
<i>*If you hold multiple Nationalities, please specify each and one of them</i>	
Country of Tax Residence and Tax Identification Number (TIN):	
Address and Post Code:	
Home Tel (Landline if applicable)	
Correspondence Address (If Different):	
Email:	
Tel. (Mobile):	
Preferred means and modes of communication:	Post <input type="checkbox"/> Email <input type="checkbox"/>
Currency of transactions:	EUR <input type="checkbox"/> USD <input type="checkbox"/> GBP <input type="checkbox"/> JPY <input type="checkbox"/>

POLITICAL EXPOSED PERSONS

'politically exposed person' means a natural person who is or who has been entrusted with prominent public functions in the Republic or in another country, *an immediate close relative* of such person as well as a person known to be a *close associate* of such person; **'prominent public function'** means any of the following public functions:

- (a) heads of State, heads of government, ministers and deputy or assistant ministers;
- (b) members of parliament or of similar legislative bodies; members of the governing bodies of political parties;
- (c) members of supreme courts, of constitutional courts or of other high-level judicial bodies, the decisions of which are not

subject to further appeal, except in exceptional circumstances; members of courts of auditors or of the boards of central banks;
(f) ambassadors, chargés affairs and high-ranking officers in the armed forces;
(g) members of the administrative, management or supervisory bodies of State-owned enterprises;
(h) directors, deputy directors and members of the board or equivalent function of an international organization;
(i) mayor

'close relatives of a politically exposed person': spouse, or person equivalent to a spouse, children and their spouses, or persons considered to be equivalent to a spouse; and the parents.

'persons known to be close associates' means natural person: who is known to have joint beneficial ownership of legal entities or legal arrangements, or any other close business relations, with a politically exposed person; who has sole beneficial ownership of a legal entity or legal arrangement which is known to have been set up for the de facto benefit of a politically exposed person of a politically exposed person.

Do you exercise, or you have been entrusted with a public function (Minister, Head of Government Agency, etc.) in the last 12 months?

YES NO

If YES, please specify:

Are you a PEP (Member of Parliament, Judge, Ambassador, Board Member of State-Owned Entity etc)?

YES NO

If YES, please specify position, country and period of time for the position held.

Are you a PEP related, or you are closely associated with a PEP?

YES NO

If YES, please provide relationship, position, organization and country:

FATCA

You are /or you were in the past subject to US Tax? YES NO

If YES please provide us with the tax identification number:

I am not a US national, I do not hold US citizenship, or US green card and I am not subject to US Tax (please tick ✓)

QUESTIONNAIRE

FINANCIAL PROFILE

1. Please mention Position within Organization / Employment position occupied/held.	ANSWER	PERFORMANCE RÖNNARU USE
2. Countries of performance of the above-mentioned employment (aforementioned)		
3. What is your personal gross annual income		
Up to €25,000	<input type="checkbox"/>	
€25,001 – €50,000	<input type="checkbox"/>	
€25,001 – €50,000	<input type="checkbox"/>	
€50,001 – €100,000	<input type="checkbox"/>	
€100,001 – €200,000	<input type="checkbox"/>	
€100,001 – €200,000	<input type="checkbox"/>	

€200,001 – €500,000	<input type="checkbox"/>	
€500,001 – €1,000,000	<input type="checkbox"/>	
Over €1,000,001	<input type="checkbox"/>	
4.Source of wealth		
5.Total wealth income:		
6.Other sources of income (if any please specify)		
7.What is, the net asset value of your assets (Assets value less liabilities)?		
Up to €50,000	<input type="checkbox"/>	
€50,001 – €100,000	<input type="checkbox"/>	
€100,001 – €200,000	<input type="checkbox"/>	
€200,001 – €500,000	<input type="checkbox"/>	
Over €500,001	<input type="checkbox"/>	
8. Sources of investment used for funding the account with Performance Ronnaru Company Ltd (select most applicable)		
Employment	<input type="checkbox"/>	
Savings/Investment	<input type="checkbox"/>	
Borrowed funds	<input type="checkbox"/>	
Company's funds	<input type="checkbox"/>	
If other sources please specify		
KNOWLEDGE & EXPERIENCE		
ATTENTION: It is necessary to complete this section accurately in order to assess the appropriateness of the financial instruments offered to you, as well as to ensure that the financial instruments are in accordance with your investment objectives, knowledge and experience		
1.Education Level/ Professional Qualifications (Please mark the higher acquired level):		
Primary – Secondary	<input type="checkbox"/>	
University Degree	<input type="checkbox"/>	
Postgraduate Degree or professional qualification in non-finance related subjects	<input type="checkbox"/>	
Postgraduate Degree or professional qualification in finance related subjects	<input type="checkbox"/>	
2. What type of financial instruments you are familiar with / you have invested in (For own account and/or on behalf of third persons)		
Cash Deposits and Government Bonds	<input type="checkbox"/>	
Money market funds	<input type="checkbox"/>	
Real Estate Property	<input type="checkbox"/>	
Capital Guarantee Products	<input type="checkbox"/>	
Bond and bond funds	<input type="checkbox"/>	
Shares	<input type="checkbox"/>	
Mutual Funds of Shares	<input type="checkbox"/>	
Foreign Currency	<input type="checkbox"/>	
Precious Metals/ Commodities	<input type="checkbox"/>	
Complex Financial Instruments such as options, futures, swaps, forward rate agreements, contract for difference and other derivative contracts	<input type="checkbox"/>	
3. By which method you already invested for own account		
None, I have not previously done any investments before	<input type="checkbox"/>	

Execution only, I decide on my own where to invest	<input type="checkbox"/>	
Based on investment advice I receive	<input type="checkbox"/>	
Discretionary / Asset Management by a Professional Firm	<input type="checkbox"/>	
4. What is the value of your current investment portfolio		
Less than €500,000	<input type="checkbox"/>	
€500,000 – €2,000,000	<input type="checkbox"/>	
€2,000,000 – €5,000,000	<input type="checkbox"/>	
Over €5,000,000	<input type="checkbox"/>	
5. What is the average value of your financial transactions		
Less than €20,000	<input type="checkbox"/>	
€20,000 – €50,000	<input type="checkbox"/>	
€50,000 – €200,000	<input type="checkbox"/>	
€200,000 – €1,000,000	<input type="checkbox"/>	
Over €1,000,000	<input type="checkbox"/>	
6. How many financial transactions have you done yearly on average in each of the last 2 years		
Less than 10	<input type="checkbox"/>	
10 – 50	<input type="checkbox"/>	
Over 100	<input type="checkbox"/>	
7. Overall how would you evaluate your investment knowledge and experience		
Extremely limited – I don't really understand much	<input type="checkbox"/>	
Limited – I understand the basics	<input type="checkbox"/>	
Average – I understand the main investment principles	<input type="checkbox"/>	
Very good – I am an investment professional/ frequent trader	<input type="checkbox"/>	
INVESTMENT PROFILE		
1. What is the desired period of your investments		
Very Short Term: 0-1 Year	<input type="checkbox"/>	
Short Term: 1-3 Years	<input type="checkbox"/>	
Medium Term: 3-5 years	<input type="checkbox"/>	
Long Term: > 5 years	<input type="checkbox"/>	
2. What is your expected yearly return for your investments		
Low: 3% – 5%	<input type="checkbox"/>	
Average: 5% – 8%	<input type="checkbox"/>	
Above average: 8% – 12%	<input type="checkbox"/>	
High: > 12%	<input type="checkbox"/>	
3. Which of the following risk profiles corresponds better to your personal investment objectives		
Preservation of capital: no exposure to market risk	<input type="checkbox"/>	
Secure investment: low exposure to market risk	<input type="checkbox"/>	
Medium term growth: moderate exposure to market risk	<input type="checkbox"/>	
Performance objective: substantial exposure to market risk	<input type="checkbox"/>	
Speculation: high exposure to market risk	<input type="checkbox"/>	
5. Primary investment objectives		

Capital Preservation	<input type="checkbox"/>	
Produce regular income	<input type="checkbox"/>	
Produce occasional income	<input type="checkbox"/>	
Produce a combination of income and capital growth	<input type="checkbox"/>	
Growth of capital with little or no income	<input type="checkbox"/>	
6. Would you consider any level of gearing / margin for your investment		
None	<input type="checkbox"/>	
< 25%	<input type="checkbox"/>	
25% - 50%	<input type="checkbox"/>	
50% - 75%	<input type="checkbox"/>	
Maximum % that can be obtained	<input type="checkbox"/>	
7. What would you consider to be your overall risk level profile		
Not willing to take any investment risk which may result in any loss of initial capital even in adverse market conditions.	<input type="checkbox"/>	
Willing to undertake minor investment risk so that to aim mainly for capital preservation. In adverse market conditions minor losses may occur with only minimal percentage of the initial capital been unrecoverable.	<input type="checkbox"/>	
Willing to undertake some investment risk and small market volatility in order to achieve average returns. In adverse market conditions moderate losses may occur and a small percentage of the initial capital may be unrecoverable.	<input type="checkbox"/>	
Willing to undertake a considerable risk and accept market volatility in order to enhance potential returns.	<input type="checkbox"/>	

LIST OF REQUIRED DOCUMENTS	
Please provide and return the company documents (mark them with ✓ below).	
1. Certified true copy of passport	<input type="checkbox"/>
2. Certificate true copies of a recent utility bill (not older than 6 months) bank statement (not older than 3 months)	<input type="checkbox"/>
3. Documents establishing proof of the source of wealth/funds (i.e payslip, rents receipt, tax return form)	<input type="checkbox"/>
4. Bank Reference Letter and Professional Reference Letter	<input type="checkbox"/>
5. Minutes of approval of signatories by BOD	<input type="checkbox"/>
6. Power of attorney	<input type="checkbox"/>
NOTE: <i>Documents shall be either original, certified as true copies of the original by a notary public or an Embassy, or a qualified lawyer, or a banker or apostil.</i>	
ACKNOWLEDGMENT	

I/WE THE UNDERSIGNED OF THE ABOVE COMPANY/LEGAL ENTITY WE AGREE THAT WE HAVE READ AND UNDERSTOOD TO THE FOLLOWING DOCUMENTS LISTED VIA PRC'S WEBSITE at the URL http://www.prcbroker.com/cy/legal_documents.html http://www.prcmarkets.com/cy/legal_documents.html

- Client Categorization Policy
- Website Terms of Use
- Risk Disclosure
- Conflict of Interest Policy
- Order Execution Policy
- Client Complaint Policy and Procedure
- Remuneration Policy
- Privacy and Cookies Policy

I declare that all the information provided in this document is, to the best of my knowledge and belief, true, accurate and complete and should be there any changes in the information provided I undertake to promptly advise Performance Ronnaru Company Ltd of the same in writing

Full name

Signature

Date: